West Hertfordshire Hospitals NHS
NHS Trust

TRUST BORD MEETING – 17 September 2009

Title of the Paper:	**Assurance Framework**
Agenda item:	**129/09**
Author:	
Trust Objective:	***Objective – Quality and Patient Satisfaction – Deliver safe, high quality care that patients feel meets their needs.***

Key issues

The Assurance Framework illustrates the current position of the trust regarding its strategic risks against it achieving its strategic objectives. They have been aligned with the newly agreed strategic objectives.

Purpose

Reports on current position.

Risk Implications for the Trust (*including any clinical and financial*	**Mitigating Actions** *(Controls)*:
Failure to report to the Board regularly will mean non-compliance with ALE, Standards for Better Health and non-compliance with NHSLA level 2, and will affect the overall annual health check rating for the Trust.	A framework exists within the Trust to ensure that those responsible for reporting the risks have sufficient actions in place to mitigate the risks.

Level of Assurance that can be given to the Trust Board from the report [significant, sufficient, limited, none]:

Significant

Links to Key Line of Enquiry (KLOE 1 - 5)

4.1. Also links to S4BH C1; C7a and c and NHSLA Standards 1.1.1; 1.1.3; 1.1.4; 1.1.6; 2.1.5.

Legal Implications:

None identified.

Recommendation to the Trust Board:

The Trust Board is asked to:

- Discuss and note the contents of the Assurance Framework

West Hertfordshire Hospitals NHS
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Agenda Item: 129/09

Public Board Meeting, 17 September 2009

Board Assurance Framework

Presented by: David McNeil, Director of Corporate Affairs

Background

This paper is to inform the Board of the current position of the risks against the Trust achieving its strategic objectives. The risks have been aligned with the new strategic objectives and the framework was last presented to the Board in May.

The following information indicates changes since the July Board meeting. In the light of the timing of the last Audit Committee (15 September 2009) this is the same report.

Current Risks

There are currently 13 risks on the Assurance Framework.

Current Risk Ratings

Red

Four are currently rated as red (those scored 15 and above), they are:

2136 – Residual estates issues
1272 – Decontamination
2282– Liquidity risk rating for Monitor
2146 – IBP and the LFTM for FT status

Amber and Yellow Ratings

For the remaining risks, 7 are currently rated as amber (those scored 8 and above), 2 are currently scored as yellow (scored 4 and above).

New Risks

No new risks have been added to the Assurance Framework.

Risks Removed

Risk ID No	Risk Description	Lead Director(s)	Risk rating when removed	Date entered on the Assurance Framework	Date Closed	Comments
2196	Failure to have a positive outcome from the HDD	Director of Communications & Corporate Affairs	10 Amber	April 09	14/8/09	HDD has been completed and an action plan presented to the Board

Ratings that have escalated since the last Board Report
None of the scores have escalated since the July Board report or the Audit Committee on 15 September.

Actions requested
The Board is asked to discuss and note the contents of the Assurance Framework.

04/09/09