



Trust Board
01 June 2017

Title of the paper	NHS self certification
Agenda item	17/49
Lead Executive	Helen Brown, Deputy Chief Executive
Author	Jean Hickman, Trust Secretary
Executive summary	<p>Although NHS trusts are exempt from needing a provider licence, directions from the Secretary of State requires all NHS trusts to certify that they comply with Condition G6 (3) following the end of the financial year.</p> <p>NHS provider licence condition G6 (3) states 'The provider has taken all precautions necessary to comply with the licence, NHS Acts and NHS Constitution'. The condition includes effective systems and processes to ensure compliance, identify risks to compliance and take reasonable mitigating actions to prevent those risks and failure to comply from occurring.</p> <p>As no board meeting was scheduled until after the mandated deadline of 31 May 2017, the board approved the certification outside of a meeting and this was submitted by the chair to NHS Improvement on behalf of the board within the timeframe.</p> <p>From July 2017, NHS trusts will be audited to ask for evidence that they have self-certified.</p>
Where the report has been previously discussed, i.e. Committee/Group	
<p>Action required:</p> <p>This paper is presented to the board to formally record that the trust has followed the required self-certification process.</p> <p>The board is asked to endorse that the trust complies with the condition G6 (3) under the NHS provider licence.</p>	

Link to Board Assurance Framework (BAF)	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> PR1 Failure to provide safe, effective, high quality care <input checked="" type="checkbox"/> PR2 Failure to recruit to full establishments, retain and engage workforce <input checked="" type="checkbox"/> PR3 Current estate and infrastructure compromises the ability to deliver safe, responsive and efficient patient care <input checked="" type="checkbox"/> PR4a Underdeveloped informatics infrastructure compromises ability to deliver safe, responsive and efficient patient care – IM&T <input checked="" type="checkbox"/> PR4b Underdeveloped informatics infrastructure compromises ability to deliver safe, responsive and efficient patient care – Information and information governance <input checked="" type="checkbox"/> PR5a Inability to deliver and maintain performance standards for Emergency Care <input checked="" type="checkbox"/> PR5b Inability to delivery and maintain performance standards for Planned Care(including RTT, diagnostics and cancer) <input checked="" type="checkbox"/> PR7a Failure to achieve financial targets, maintain financial control and realise and sustain benefits from CIP and Efficiency programmes <input checked="" type="checkbox"/> PR7b Failure to secure sufficient capital, delaying needed improvements in the patient environment, securing a healthy and safe infrastructure <input checked="" type="checkbox"/> PR8 Failure to engage effectively with our patients, their families, local residents and partner organisations compromises the organisation's strategic position and reputation. <input checked="" type="checkbox"/> PR9 Failure to deliver a long term strategy for the delivery of high quality, sustainable care <input checked="" type="checkbox"/> PR10 System pressures adversely impact on the delivery of the Trust's aims and objectives <p style="text-align: center;">PR6 – business continuity has been closed (incorporated into PR1)</p>
Trust objectives	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> To deliver the best quality care for our patients <input checked="" type="checkbox"/> To be a great place to work and learn <input checked="" type="checkbox"/> To improve our finances <input checked="" type="checkbox"/> To develop a strategy for the future
Benefits to patients/staff from this project/initiatives	
Risks attached to this project/initiatives and how these will be managed <p>The trust would be unable to practice if it did not comply with the NHS Provider licence.</p>	