

**Part 1 Board Meeting 31<sup>st</sup> January 2013**

**Agenda Item 16/13**

---

**Serious Incident (SI) Summary Report**

The table below sets out the serious incidents reported since the previous Board meeting (appendix A provides further detail), the current status of open investigations and details of incidents closed since the last report. The Board can take significant assurance from the current position regarding Serious Incidents.

---

**Assurance Assessment**

1. The majority of serious incidents reported relate to the requirement to report all Grade 3/4 pressure ulcers. Those reported are currently being investigated to determine whether they were avoidable. Pressure ulcers deemed to be unavoidable are downgraded.
2. Part of the Trust process following a serious incident is to ensure immediate risks are managed and that timely action is taken to minimise recurrence – this is core to the management of serious incidents.
3. All serious incident reports are reviewed at the Serious Incident Group and learning shared as relevant. Where appropriate, serious incident reports form the basis of case studies presented at governance meetings and at Patient Safety Grand Round meetings.
4. Learning from incidents is shared through the CLIP summary reports and through regular articles in the In-Focus and On the Pulse publications.

**Summary of Incidents**

5. Since the last Trust Board meeting in November 2012, **9** Serious Incident (SI) cases have been declared to the PCT:
  - 33436 HAPU Grade 3
  - 33717 A&E Pressures
  - 34245 Grade 3 HAPU
  - 34716 Grade 3 HAPU
  - 34756 Grade 3 HAPU
  - 35056 #NOF AAU
  - 35163 Grade 3 HAPU
  - 35252 Grade 3 HAPU
  - 35323 Grade 3 HAPU

6. **Twenty Five** SI cases for 2012/2013 are progressing through various stages of investigation. Of these:

- The PCT agreed that **8 HAPUs were unavoidable** and are no longer SIs:
  1. SI26375 Grade 3 HAPU
  2. SI29509 Grade 3 HAPU
  3. SI28573 Grade 3 HAPU
  4. SI28263 Grade 3 HAPU
  5. SI30844 Grade 3 HAPU
  6. SI33436 Grade 3 HAPU
  7. SI33093 Grade 3 HAPU
  8. SI32942 Grade 3 HAPU
- **6 SIs require the submission of evidence of action plan implementation** once the actions are complete in order to be considered for closure by the PCT.

7. **13 SIs were closed** between November 2012 and January 2013 by the PCT:

- SI30947 Grade 3 HAPU (avoidable)
- SI30279 Grade 3 HAPU (avoidable)
- SI32919 Grade 3 HAPU (avoidable)
- SI32883 Grade 3 HAPU (avoidable)
- SI31752 Grade 3 HAPU (avoidable)
- SI31732 Grade 3 HAPU (avoidable)
- SI31513 Grade 3 HAPU (avoidable)
- SI31220 #NOF Aldenham
- SI30269 # NOF AAU
- SI31318 MRSA Bacteraemia
- SI29409 Grade 3 HAPU (avoidable)
- SI29780 C-Diff Outbreak
- SI29542 Wrong Patient Near Miss

**Dr Colin Johnston**  
**Director of Patient Safety, Medical Director**  
**22<sup>nd</sup> January 2013**