

**TRUST BOARD MEETING – 29<sup>th</sup> November 2012**

<b>Title of the Paper:</b>	<b>Foundation Trust Application</b>	
<b>Agenda item:</b>	<b>Part 1 Item 7/12</b>	
<b>Author:</b>	<b>Liz Rippon, Director of Communications</b>	
<b>Trust Objective:</b>	<b>To achieve Foundation Trust Status</b>	
<b>Purpose:</b> <b>To receive an update on the Foundation Trust Application and consider options for timeline for resubmission</b>		
<b>Risk Implications for the Trust</b> ( <i>including any clinical and financial consequences</i> ):		<b>Mitigating Actions</b> ( <i>Controls</i> ):
<b>Level of Assurance that can be given to the Trust Board from the report</b>		
Sufficient Assurance		
<b>Links to Board Assurance Framework, CQC Outcomes, Statutory Requirements (ie BAF risk reference, CQC outcomes linked to report)</b>		
<b>Legal Implications:</b> Not applicable.		
<b>Recommendation to the Trust Board:</b>		
The Board is asked to note the content of this paper and consider the preferred timeline for resubmitting the FT application.		



## Item 07/12 Part 1 Board Meeting, 29 November 2012

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### **Foundation Trust Application**

This paper is intended to present options for future timescales for the Foundation Trust application.

#### **Presented by:**

Liz Rippon, Director of Communications

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### **1. Summary**

The Trust was formally submitted to the Department of Health (DH) by the Midlands and East Health Strategic Authority (SHA) in April 2012 as set out in the Tripartite Formal Agreement.

The DH Technical Committee requested that the Trust made some amendments to the application and this was resubmitted by the SHA to the DH in August 2012.

The submission was rated as 'A' for seven of the eight areas. The Committee has not yet graded the application for financial viability and requested that there be a revision to the implied efficiency levels in the LTFM. The Trust is working with the SHA and DH to ensure that the next iteration of the LTFM and subsequent IBP fully reflect Monitor's requirements.

### **2. Proposed Timescales and Milestones**

The Board is asked to consider the following three options for timescales for resubmission of the Foundation Trust application.

#### **2.1. Option One**

This option presents the earliest possible rework of LTFM and carries the risk that all required business cases will not be finalised.

<b>Milestone</b>	<b>Timescale</b>
Board to review LTFM and IBP	Feb 2013
SHA Submissions	March 2013
Application to the DH	1 April 2013
DH Technical Committee	end April 2013
DH Applications Committee	May 2013
Secretary of State Approval	June 2013
Monitor Assessment Stage	16 weeks Start date determined by Monitor batching Board to Board at week 12
Earliest Authorisation Date	October 2013

## 2.2. Option Two

There is no proposed submission in March due to financial year end.

<b>Milestone</b>	<b>Timescale</b>
Board to review LTFM and IBP	April 2013
SHA Submissions	May 2013
Application to the DH	1 June 2013
DH Technical Committee	end June 2013
DH Applications Committee	July 2013
Secretary of State Approval	August 2013
Monitor Assessment Stage	16 weeks Start date determined by Monitor batching Board to Board at week 12
Earliest Authorisation Date	December 2013

## 2.3. Option Three

This version would be able to include the Trust's final audited accounts.

<b>Milestone</b>	<b>Timescale</b>
Board to review LTFM and IBP	June 2013
SHA Submissions	July 2013
Application to the DH	1 Aug 2013
DH Technical Committee	end Aug 2013
DH Applications Committee	Sept 2013
Secretary of State Approval	Oct 2013
Monitor Assessment Stage	16 weeks Start date determined by Monitor batching Board to Board at week 12
Earliest Authorisation Date	Feb 2014

## 3. Recommendations

The Board is asked to note the content of this paper and consider the preferred option for timeline for resubmitting an FT application.

Liz Rippon  
**Director of Communications**  
November 2012