

West Hertfordshire Hospitals

NHS Trust

Public Board Meeting, 26 July 2012

Board Assurance Framework

Presented by: **Colin Johnston, Director of Patient Safety, Medical Director**

Introduction

This paper informs the Board of the current position relating to the key risks to the achievement of the organisation's objectives. The risks are aligned to the strategic objectives contained in the Integrated Business Plan. The following is a summary of changes since the last Board meeting (May 2012). On 12th July 2012 the Integrated Risk and Governance Committee (IRGC) considered an earlier draft of the Board Assurance Framework.

Summary

There are currently **25** risks recorded on the Assurance Framework. All entries have been reviewed. Actions and assurances have been updated where appropriate.

Issues raised by IRGC on 12th July 2012:

The Committee reviewed the Board Assurance Framework and the following issues were raised relating to specific risks.

BAF 2136 was reviewed and a compliance update provided by the (Interim) Director of Strategy and Infrastructure. The risk score remains at 25 however the Committee agreed that reasonable assurances were in place to manage the risks.

Risk 2776 has reduced to a score of 5 as a result of the robust and wide ranging response to the Never Events reported and the implementation of the programme of changes to the management model for maternity theatres. The Director of Nursing **proposed that this risk be downgraded from the BAF** to be monitored on the WACS divisional risk register. The Integrated Risk and Governance Committee agreed.

Risk 2659 has reduced to a score of 4 as the actions have been completed and maternity staffing ratios are on target. The Director of Nursing **proposed that this risk be downgraded from the BAF** to be monitored on the WACS divisional risk register. The Integrated Risk and Governance Committee agreed.

The Committee also reviewed the following risk assessments which were presented for consideration and escalation:

1. **Health Records Management Risk** – the Director for Partnerships informed the Committee of the multiple risks associated to the management of the Trust's health records including the physical capacity of medical records, the moving and handling of multiple volume notes, insufficient staffing in clinic preparation and the use of flimsies. The Director for Partnerships noted the risk assessments were considered by the Information Governance Group which submitted the assessments for consideration by IRGC. The committee discussed the risk assessments presented and proposed that an aggregated risk assessment should be recorded on the Board Assurance Framework. It was agreed that this would be completed by the Divisional General Manager and that the Executive Owner would be the Chief Operating Officer.

Following these reviews of the BAF a total of **10** entries have a current risk rating of 15 or above (red) and have controls in place and ongoing mitigating actions in place. There follows a Summary Risk profile indicating current scores and current levels of assurance. The Board is asked to review the conclusions drawn in relation to the level of assurances in place and identify risks for which further assurance is required.

Dr Colin Johnston

Director of Patient Safety, Medical Director

BAF Summary Risk Profile July 2012

