

STRATEGIC OBJECTIVE ONE		
Provide Safe Patient Care		
Risk ID		
2639		
Risk Description		
The recent failure of Lift 9 and its predicted time out of service indicated that the controls in place are insufficient to prevent a potentially serious risk to patients. This lift has broken down multiple times. The lift provides emergency access to the labour ward and to A & E and ITU. The risk was first recorded in September 2010 with a risk score of 9 based on an action plan.		
Risk Type – Link to: CQC Registration/ALE/NHSLA/Info Governance		
Operational Risk		
Principal Risk affecting delivery of core aims		
CQC Outcomes:		
<ul style="list-style-type: none"> • 10 Regulation 15 Safety and Suitability of Premises • 4 Regulation 9 Care and welfare of people who use services • 13 Regulation 22 Staffing • 17 Regulation 19 Complaints 		
NHSLA Standards:		
<ul style="list-style-type: none"> • Standard 4.5 Managing risks associated with the transfer of patients 		
CNST Maternity standards		
<ul style="list-style-type: none"> • Standard 1.3 Organisation: Staffing levels • Standard 2.8 Clinical care: Severely Ill woman • Standard 2.9 Clinical care: High Dependency care • Standard 3.2 High risk conditions: Eclampsia • Standard 3.7 High risk conditions: Post Partum Haemorrhage • Standard 4.8 Communication: Hand over of care (on-site) • Standard 4.9 Communication: Maternal transfer by ambulance 		
Director Responsible		
Sarah Wiles and Natalie Forrest		
Consequence (Initial) 3	Likelihood (Initial) 4	Initial Risk Level 12
Controls in Place (to manage risk)		
(These are regular actions or structures that provide a control that supports the management of the risk)		
<ul style="list-style-type: none"> • Action Plan developed in September 2010. 		
Potential Sources of Assurance		
(Assurance is positive outcome so supportive reports from HSE, Internal Audit, etc)		
It has long been recognised that a back-up link between buildings is required mitigate this risk. As part of the recent capacity build project for maternity and neonatal services, an access point is being created to support a later project for a link bridge between buildings.		
The costs of this are likely to be >£400k.		
Assurances Positive (+) or Negative (-)		
(+) Contingency plan in place (-) A review of all 279 work request sheets determined that a total of 25 defect reports were logged for Lift No9. (+) The site operation are aware of the criticality of this item of plant and there is no evidence or record indication that any single fault has resulted in the lift being out of service for longer than 24hrs		

A GAPS in Assurance (Negative/limited or no assurance) C GAPS in control		
C GAPS: No capital funding for design work or construction project Need to do breakdown analysis to truly understand the risks involved.		
Consequence (current) 3	Likelihood (current) 4	current Risk Level 12
Mitigating Actions (in place)		
<ul style="list-style-type: none"> Facilities informed: Catering and linen delivered over ground through rear or main entrances to WACS building Clinical areas informed from the communications office that the lift is down by e-mail and phone Details given on contact details for an ambulance to be ordered to transfer patients Each clinical area in the WACS building is responsible ordering individual ambulances for patient transfer Where service is down for more than a few hours – additional staff ordered to facilitate potential patient transfers 		
Progress against mitigation Progress in achieving mitigating actions necessary to manage and reduce risk.		
<ol style="list-style-type: none"> As part of the recent capacity build project for maternity and neonatal services, an access point is being created to support a later project for a link bridge between buildings. An in depth review of the breakdowns has been asked for to see what further minor improvements can be made to the lifts. Estates team have been on HTM compliant lift training which should improve the understanding Plan to review all breakdowns in greater detail to improve reliability of the lifts. Develop design solution for the link corridor between PMOK and Maternity Review contingency plans to ensure we are addressing all the needs of the service. 		

Action Update

Next Update Due
November 2011

Committee responsible for overseeing risk and Manager Assigned
To be agreed – Manager assigned: Eric Fehily
Likelihood (Target) This means the likelihood score you are working towards achieving (the consequence remains the same)
1
Risk Level (Target) Target Likelihood by consequence scores
3
Date and title of report Discussed at Board (This should be the latest date this was discussed or date scheduled for discussion if not seen by Board for 6 months)
September 2011